



Typed Assembly for the Zarf ISA

Machine-Checked, Typed, Polymorphic, Functional Assembly Code

Michael Christensen, Joseph McMahan,
Ben Hardekopf, Tim Sherwood



This Talk

- Unpublished
- Thoughts on extensions to our prior work
- Seeing if anyone else cares
- Request for:
 - Feedback
 - Experiences
 - Related work to consider
- Get us thinking about applying PL techniques to the **architecture world**

Outline

- This Talk
- The Zarf Architecture
 - Untyped Machine
 - Semantics
- Typed Assembly Background
 - Advantages
- Typed Zarf
 - *Typed* Machine
 - Binary Type-checking Algorithm
 - Some Guarantees Zarf Gets
- The Future, and Why
 - Finding Motivation

The Zarf Architecture for Recursive Functions (ASPLOS 2017)

- An ISA based on the lambda calculus
 - Lambda-lifted, lazy, ANF, WHNF
 - 3 instructions
 - **let**: stores a thunk
 - **case**: thunk evaluation to WHNF for matching
 - **result**: yields value to **case**
 - terminates every instruction branch and function
 - Functions
 - User-defined
 - Builtins (**add**, **mult**, **xor**, etc.)
 - I/O: **getint** and **putint**
 - Constructors
 - Named tuples
 - Special **error** constructor to indicate HW error

Untyped Machine

High-Level Assembly	Machine Assembly	Binary
1 cons nil	cons 0 # nil, 0x101	1 2 X X
2 cons cons head tail	cons 2 # cons, 0x102	1 0 X X
3 func map f l =	func 2 3: # map, 0x103	0 2 X 3
4 case l of {	case [arg 1]	1 X 0 1
5 nil =>	pat_con [0x101] 1	4 1 X 101
6 l	result [arg 1]	2 X 0 1
7 cons h tl =>	pat_con [0x102] 9	4 9 X 102
8 let h' = f h in	let [arg 0] 1 # local 0	0 1 0 0
9	[field 0]	3 0
10 let tl' = map f tl in	let [table 0x103] 2 # local 1	0 2 4 103
11	[arg 0]	0 0
12	[field 1]	3 1
13 let l' = cons h' tl' in	let [table 0x102] 2 # local 2	0 2 7 102
14	[local 0]	1 0
15	[local 1]	1 1
16 l'	result [local 2]	1 X 1 2
17	pat_else 2	5 2 X X
18	let [table 0x0] 0 # local 0	0 0 4 0
19	result [local 0]	2 X 1 0

Binary Encoding			
Function Header			
isCons	nArgs	reserved	nLocals
1	11	10	10

Instruction Word			
op	n	src	index
3	10	3	16

Argument Word	
src	index
3	29

Opcodes	Sources
0 let	0 arg
1 case	1 local
2 result	2 literal
3 pat_lit	3 field
4 pat_con	4 table
5 pat_else	

Semantics

$c \in \text{Constructor} = \text{Name} \times \overrightarrow{\text{Value}}$ $\text{clo} \in \text{Closure} = (\lambda \vec{x}.e) \times \overrightarrow{\text{Value}}$ $v \in \text{Value} = \mathbb{Z} \uplus \text{Constructor} \uplus \text{Closure}$ $\rho \in \text{Env} = \text{Variable} \rightarrow \text{Value}$

$x \in \text{Variable}$ $n \in \mathbb{Z}$ $fn, cn \in \text{Name}$ $\oplus \in \text{PrimOp}$

$$\frac{\vdash e \Downarrow v}{\overrightarrow{\text{decl}} \text{ fun main} = e \Downarrow v} \text{ (PROGRAM)} \quad \frac{v = \rho(\text{arg})}{\rho \vdash \text{result arg} \Downarrow v} \text{ (RESULT)} \quad \frac{\vec{v}_1 = \rho(\overrightarrow{\text{arg}}) \quad v_2 = \text{applyCn}(cn, \vec{v}_1) \quad \rho[x \mapsto v_2] \vdash e \Downarrow v_3}{\rho \vdash \text{let } x = cn \overrightarrow{\text{arg}} \text{ in } e \Downarrow v_3} \text{ (LET-CON)}$$

$$\frac{fn \notin \{\text{getint}, \text{putint}\} \quad \text{fun } fn \vec{x}_2 = e_2 \in \overrightarrow{\text{decl}} \quad \vec{v}_1 = \rho(\overrightarrow{\text{arg}}) \quad v_2 = \text{applyFn}((\lambda \vec{x}_2.e_2, []), \vec{v}_1, \rho) \quad \rho[x_1 \mapsto v_2] \vdash e_1 \Downarrow v_3}{\rho \vdash \text{let } x_1 = fn \overrightarrow{\text{arg}} \text{ in } e_1 \Downarrow v_3} \text{ (LET-FUN)}$$

$$\frac{\rho(x_2) \quad \vec{v}_2 = \rho(\overrightarrow{\text{arg}}) \quad v_3 = \text{applyFn}(v_1, \vec{v}_2, \rho) \quad \rho[x_1 \mapsto v_3] \vdash e \Downarrow v_4}{\rho \vdash \text{let } x_1 = x_2 \overrightarrow{\text{arg}} \text{ in } e \Downarrow v_4} \text{ (LET-VAR)} \quad \frac{n_2 \text{ is input from port } n_1 \quad \rho[x \mapsto n_2] \vdash e \Downarrow v}{\rho \vdash \text{let } x = \text{getint } n_1 \text{ in } e \Downarrow v} \text{ (GETINT)}$$

$$\frac{\vec{v}_1 = \rho(\overrightarrow{\text{arg}}) \quad v_2 = \text{applyPrim}(\oplus, \vec{v}_1) \quad \rho[x \mapsto v_2] \vdash e \Downarrow v_3}{\rho \vdash \text{let } x = \oplus \overrightarrow{\text{arg}} \text{ in } e \Downarrow v_3} \text{ (LET-PRIM)} \quad \frac{n_2 = \rho(\text{arg}) \quad \rho[x \mapsto n_2] \vdash e \Downarrow v}{\rho \vdash \text{let } x = \text{putint } n_1 \text{ arg in } e \Downarrow v} \text{ (PUTINT)}$$

$$\frac{(cn, \vec{v}_1) = \rho(\text{arg}) \quad (cn \vec{x} \Rightarrow e_1) \in \overrightarrow{\text{br}} \quad \rho[\vec{x} \mapsto \vec{v}_1] \vdash e_1 \Downarrow v_2}{\rho \vdash \text{case arg of } \overrightarrow{\text{br}} \text{ else } e_2 \Downarrow v_2} \text{ (CASE-CON)} \quad \frac{n = \rho(\text{arg}) \quad (n \Rightarrow e_1) \in \overrightarrow{\text{br}} \quad \rho \vdash e_1 \Downarrow v}{\rho \vdash \text{case arg of } \overrightarrow{\text{br}} \text{ else } e_2 \Downarrow v} \text{ (CASE-LIT)}$$

$$\frac{(cn, \vec{v}_1) = \rho(\text{arg}) \quad (cn \vec{x} \Rightarrow e_1) \notin \overrightarrow{\text{br}} \quad \rho \vdash e_2 \Downarrow v_2}{\rho \vdash \text{case arg of } \overrightarrow{\text{br}} \text{ else } e_2 \Downarrow v_2} \text{ (CASE-ELSE1)} \quad \frac{n = \rho(\text{arg}) \quad (n \Rightarrow e_1) \notin \overrightarrow{\text{br}} \quad \rho \vdash e_2 \Downarrow v_1}{\rho \vdash \text{case arg of } \overrightarrow{\text{br}} \text{ else } e_2 \Downarrow v_1} \text{ (CASE-ELSE2)}$$

$$\text{applyFn}((\lambda \vec{x}_1.e, \vec{v}_1), \vec{v}_2, \rho) = \begin{cases} v & \text{if } |\vec{v}_2| = 0, |\vec{v}_1| = |\vec{x}_1|, \text{ and } \rho[\vec{x}_1 \mapsto \vec{v}_1] \vdash e \Downarrow v \\ (\lambda \vec{x}_1.e, \vec{v}_1) & \text{if } |\vec{v}_2| = 0 \text{ and } |\vec{v}_1| < |\vec{x}_1| \\ \text{applyFn}((\lambda \vec{x}_1.e, \vec{v}_1 :+ \text{hd}(\vec{v}_2)), \text{tl}(\vec{v}_2), \rho) & \text{if } |\vec{v}_2| > 0 \text{ and } |\vec{v}_1| < |\vec{x}_1| \\ \text{applyFn}((\lambda \vec{x}_2.e', \vec{v}_3), \vec{v}_2, \rho) & \text{if } |\vec{v}_2| > 0, |\vec{x}_1| = |\vec{v}_1|, \text{ and } \rho[\vec{x}_1 \mapsto \vec{v}_1] \vdash e \Downarrow (\lambda \vec{x}_2.e', \vec{v}_3) \end{cases}$$

$$\text{applyCn}(cn, \vec{v}) = \begin{cases} (cn, \vec{v}) & \text{if } (\text{con } cn \vec{x}) \in \overrightarrow{\text{decl}} \text{ and } |\vec{v}| = |\vec{x}| \\ (\lambda \vec{x}. \text{let } c = cn \vec{x} \text{ in result } c, \vec{v}) & \text{if } (\text{con } cn \vec{x}) \in \overrightarrow{\text{decl}} \text{ and } |\vec{v}| < |\vec{x}| \end{cases} \quad \rho(\text{arg}) = \begin{cases} n & \text{if } \text{arg} = n \\ v & \text{if } \text{arg} = x \text{ and } (x \mapsto v) \in \rho \end{cases}$$

$p \in \text{Program} ::= \overrightarrow{\text{decl}} \text{ fun main} = e$

$\text{decl} \in \text{Declaration} ::= \text{cons} \mid \text{func}$

$\text{cons} \in \text{Constructor} ::= \text{con } cn \vec{x}$

$\text{func} \in \text{Function} ::= \text{fun } fn \vec{x} = e$

$e \in \text{Expression} ::= \text{let} \mid \text{case} \mid \text{res}$

$\text{let} \in \text{Let} ::= \text{let } x = id \overrightarrow{\text{arg}} \text{ in } e$

$\text{case} \in \text{Case} ::= \text{case arg of } \overrightarrow{\text{br}} \text{ else } e$

$\text{res} \in \text{Result} ::= \text{result arg}$

$\text{br} \in \text{Branch} ::= cn \vec{x} \Rightarrow e \mid n \Rightarrow e$

$id \in \text{Identifier} ::= x \mid fn \mid cn \mid \oplus$

$\text{arg} \in \text{Argument} ::= n \mid x$

Typed Assembly Background

TAL/TALx86 (Morrisett et al. 1999, Crary et al. 1999):

- High-level lang. compilation target
- Abstraction raised
 - word → integer, pointer, tuple, code labels
- Type constructors, parametric poly.
- Preconditions on code labels (register types)

STAL (Morrisett et al. 2002)

- Extend TAL with control stack

FunTAL (Patterson et al. 2017)

- Embed assembly in typed functional language and vice versa
- Reason about TAL components (mult. BBs) and high-level exp

Also:

- PCC (Necula and Lee, 1996)
 - Agent-supplied data/proof that it code complies with host's safety policies
- Typed intermediate languages

Typed Assembly Advantages

- High-level abstractions
 - enforced at machine level
 - can be ensured to be compiled/maintained correctly
- Help optimizations during entire compilation process
- Check untrusted code before running
 - Write in any language as long as it compiles down to TAL
- FunTAL: include speedy low-level operations and maintain guarantees

Typed Machine

High-Level Assembly		Machine Assembly		Binary			Binary Encoding				
1	data List[a]	data 1 2	# List[a] 0x101	1	1	2	Data Declaration				
2	= Nil	cons 0	# Nil 0x101	1	0		isData	nTvars	nCons/nArgs		
3	Cons a List[a]	cons 2	# Cons 0x102	1	2		1	15	16		
4		[tvar 0]		3	0		Table Declaration (Cons)				
5		[data 0x101]		1	0x101		isCons	nFields			
6		[tvar 0]		3	0		1	31			
7	func map[a,b]:	func 2 2	# map 0x103	0	2	2	Table Declaration (Func)				
8	((a → b),	[func 1]		2	1		isCons	index	nLocals		
9		[tvar 0]		3	0		1	16	15		
10		[tvar 1]		3	1		Type Word				
11	List[a])	[data 0x101]		1	0x101		type	index/nArgs			
12		[tvar 0]		3	0		2	30			
13	→ List[b]	[data 0x101]		1	0x101		Instruction Word				
14		[tvar 1]		3	1		op	nArgs	src	index	
15	func map f l =	def 0x103 3	# definition	0	0x103	3	3	10	3	16	
16	case l of {	case [arg 1]		1	X	0	1	Argument Word			
17	Nil =>	pat_con [0x101] 1		4	2	X	0x101	Argument Word			
18	let n = Nil in	let [table 0x101] 0 # local 0		0	0	4	0x101	src	index		
19	n	result [local 0]		2	X	1	0	3	29		
20	Cons h tl =>	pat_con [0x102] 9		4	9	X	0x102	Types			
21	let h' = f h in	let [arg 0] 1 # local 0		0	1	0	0	0	int	2	func
22		[field 0]		3	0			1	data	3	tvar
23	let tl' = map f tl in	let [table 0x103] 2 # local 1		0	2	4	0x103	Opcodes			
24		[arg 0]		0	0			0	let	Sources	
25		[field 1]		3	1			1	case	1	local
26	let l' = Cons h' tl' in	let [table 0x102] 2 # local 2		0	2	4	0x102	2	result	2	literal
27		[local 0]		1	0			3	pat_lit	3	field
28		[local 1]		1	1			4	pat_con	4	table
29	l'	result [local 2]		2	X	1	2	5	pat_else		

Binary Type Checking Algorithm

1. Load argument and return types with type variables
2. For each instruction:
 - a. If **let**:
 - i. Lookup table type
 1. Load its expected arguments/fields, with fresh, consistent type variable indices
 - ii. Lookup argument types in environment (must be variables or primitives)
 - iii. Check types:
 1. Match concrete type constructor
 2. Add constraints between type variables
 - b. If **case**:
 - i. Lookup scrutinee type in environment
 - ii. Lookup data type (if not primitive)
 1. Load constructors, assign type of fields to types in scrutinee
 - iii. Check for totality of matching
 - c. If **result**:
 - i. Unify constraints, replace non-original type variables as needed
 - ii. Compare result to return type

Some Guarantees Zarf Gets

- Filters our bad behaviors
 - Casing on an underapplied thunk/constructor
 - Passing incorrect arguments, using return value incorrectly
 - Elimination of *some* runtime error constructors (still array-out-of-bounds possibilities)
- Removes the need for a compiler you totally trust
- All foreign untrusted binaries can be checked
 - Untyped Zarf already prohibits arbitrary control flow and memory access...
- It's lambda-calculus (basically), so verifying it should be eas(ier)
- Type-checking done in **HW**; cannot be circumvented
 - no variable length memory structures
 - fixed bit width
 - bounded state machine

Finding Motivation

- Why do we need another typed assembly?
- Is there a meaningful decrease in proof complexity by using Zarf?
- Is hardware type-checking feasible for a non-trivial type system?
- Is our type system expressive enough?
- What kind of type system do *you* want?
- What kind of errors do you want to prevent?
- Ideas and future work:
 - Implement on hardware (currently in our simulator)
 - Proofs
 - Dependent types
 - Effects
 - Use Zarf as an IR

Questions
(and maybe answers)